



## Effect of Iyengar yoga therapy for chronic low back pain

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### Abstract

Low back pain is a significant public health problem and one of the most commonly reported reasons for the use of Complementary Alternative Medicine. A randomized control trial was conducted in subjects with non-specific chronic low back pain comparing Iyengar yoga therapy to an educational control group. Both programs were 16 weeks long. Subjects were primarily self-referred and screened by primary care physicians for study of inclusion/exclusion criteria. The primary outcome for the study was functional disability. Secondary outcomes including present pain intensity, pain medication usage, pain-related attitudes and behaviors, and spinal range of motion were measured before and after the interventions. Subjects had low back pain for  $11.2 \pm 1.54$  years and 48% used pain medication. Overall, subjects presented with less pain and lower functional disability than subjects in other published intervention studies for chronic low back pain. Of the 60 subjects enrolled, 42 (70%) completed the study. Multivariate analyses of outcomes in the categories of medical, functional, psychological and behavioral factors indicated that significant differences between groups existed in functional and medical outcomes but not for the psychological or behavioral outcomes. Univariate analyses of medical and functional outcomes revealed significant reductions in pain intensity (64%), functional disability (77%) and pain medication usage (88%) in the yoga group at the post and 3-month follow-up assessments. These preliminary data indicate that the majority of self-referred persons with mild chronic low back pain will comply to and report improvement on medical and functional pain-related outcomes from Iyengar yoga therapy.

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### 1. Introduction

Low back pain (LBP) is a public health problem that has reached epidemic proportions (Shelerud, 1998). In the US, 70–85% of the population has had at least one episode of back pain sometime in their life (Andersson, 1999). LBP is

one of the most commonly reported reasons for use of Complementary Alternative Medicine (CAM) (Eisenberg et al., 1993, 1998). An estimated 14.9 million Americans practice yoga, 21% of which use it for treating neck and back pain (Saper et al., 2002).

Astanga yoga is comprised of eight limbs including moral injunctions, rules for personal conduct, postures, breath control, sense withdrawal, concentration, meditation and self-realization (Taimini, 1986). Of the many styles of

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yoga taught in the US, Iyengar yoga is the most prevalent (Signet Market Research, 2000). It is based on the teachings of the yoga master, B.K.S. Iyengar (1976) who has taught yoga for 70 years and has applied yoga to many health problems including chronic low back pain (CLBP). Although his system descended from Astanga Yoga, it is distinguished from other styles of yoga by the emphasis on precise structural alignment, the use of props, and sequencing of poses, and by the incorporation of all aspects of Astanga Yoga into the practice of postures and breath control (Iyengar, 1989). Iyengar yoga was chosen for this study because a high prevalence of Americans practicing Iyengar yoga (Signet Market Research, 2000) and the expectation of yielding the best possible outcome. The method is supported by a national credentialing organization with high teaching standards (IYNAUS Certification Committee, 2002) and a standardized protocol for LBP (Iyengar, 1976).

A number of randomized controlled studies exist on the efficacy of yoga. These include osteoarthritis (Garfinkel et al., 1994), carpal tunnel syndrome (Garfinkel et al., 1998), multiple sclerosis (Oken et al., 2004), bronchial asthma (Nagarathna and Nagendra, 1985; Vedanthan et al., 1998), pulmonary tuberculosis (Visweswaraiah and Telles, 2004), drug addiction (Shaffer et al., 1997), hypertension (Murugesan et al., 2000), irritable bowel syndrome (Taneja et al., 2004), lymphoma (Cohen et al., 2004) and mild depression (Woolery et al., 2004). Four of these studies evaluated Iyengar yoga (Garfinkel et al., 1994, 1998; Oken et al., 2004; Woolery et al., 2004) and reported positive results.

Only three peer-reviewed studies of yoga and CLBP have been published. Two of the studies evaluated an unspecified method of hatha yoga. One study lacked a control group (Vidyasagar et al., 1989) while the other was not powered to reach statistical significance (Galantino et al., 2004). The third was a feasibility analysis of Iyengar yoga presenting only baseline data and adherence rates to therapy (Jacobs et al., 2004).

Although the therapeutic application of Iyengar yoga for CLBP is currently offered at Iyengar Yoga Centers, there has been no published scientific evaluation of the intervention. The purpose of this exploratory study was to determine the efficacy of Iyengar yoga therapy on pain-related outcomes in persons with CLBP. It is hypothesized that the yoga therapy group will report a greater reduction in a number of pain-related measures.

## 2. Method

### 2.1. Subjects

The study was approved by the Institutional Review Board at West Virginia University. Subjects were recruited through physician and self-referral. Local physicians were informed about the study through lectures and mailed announcements. The

project was announced to the public through flyers, public radio, and local university list serve for faculty and staff. The inclusion criteria were: history of non-specific LBP with symptoms persisting for > 3 months. Subjects had to be > 18 years of age, English-speaking, and ambulatory. Individuals were excluded if their LBP was due to nerve root compression, disc prolapse, spinal stenosis, tumor, spinal infection, alkylosing spondylosis, spondylolisthesis, kyphosis or structural scoliosis, or a widespread neurological disorder. Individuals were excluded if they presented as pre-surgical candidates, were involved in litigation or compensation, displayed a compromised cardiopulmonary system, were pregnant, had a body mass index > 35, were experiencing major depression or substance abuse and were practitioners of yoga. Eligibility was also contingent on subjects' agreement to randomization and to forgo other forms of CAM during the study.

### 2.2. Study design

During the pre-intervention assessment, subjects signed an informed consent and completed a battery of psychosocial questionnaires, and spinal range of motion (ROM) measurements. Data collectors were blind to the subject's treatment status. Subsequently, subjects were randomized to control or yoga groups using a random number generating program from JMP 4.0 statistical software (JMP is a registered trademark of the SAS Institute, Cary, NC; see Fig. 1). Randomized subjects were assigned to one of three subgroups of 10 (i.e. Groups I–III) during the fall 2001 and spring 2002 based on the date of their enrollment. Both groups received 16 weekly newsletters on back care written by senior entry-level physical therapy students while also being permitted to continue medical care for LBP. In 2 weeks preceding the start of the program, the control and yoga groups received two 1-h lectures of occupational/physical therapy education regarding CLBP. Instructional handouts were given to help subjects use the information they received. Yoga group subjects were required to attend one 1.5-h class each week taught by a yoga instructor for 16 weeks at a community yoga studio. Yoga subjects were also encouraged to practice yoga at home for 30 min, 5 days per week. Both groups were asked to attend a 1.5-h post-intervention assessment 16 weeks following the start of the program to complete questionnaires, and spinal ROM measurements. Data collectors were blind to the subject's treatment status. Three months after program completion, a third battery of questionnaires was mailed to all subjects. Subjects were asked to complete and return the questionnaires in stamped, self-addressed envelopes to the researchers. Results from the post-treatment and 3-month follow-up assessments were compared to baseline measurements.

### 2.3. Yoga therapy intervention

The yoga therapy intervention is based on the teachings of BKS Iyengar who has taught yoga for 70 years and has applied therapeutic variations of classical poses to many health problems including CLBP (Iyengar, 1976). It was posited that Iyengar yoga therapy would progressively rehabilitate LBP by addressing imbalances in the musculoskeletal system that affect spinal alignment and posture. The wide range of postures and supportive props employed by this method serve to enhance alignment, flexibility, mobility and stability in all muscles and joints that affect spinal alignment and posture. A variety of props were used including sticky mats, belts, blocks, chairs,

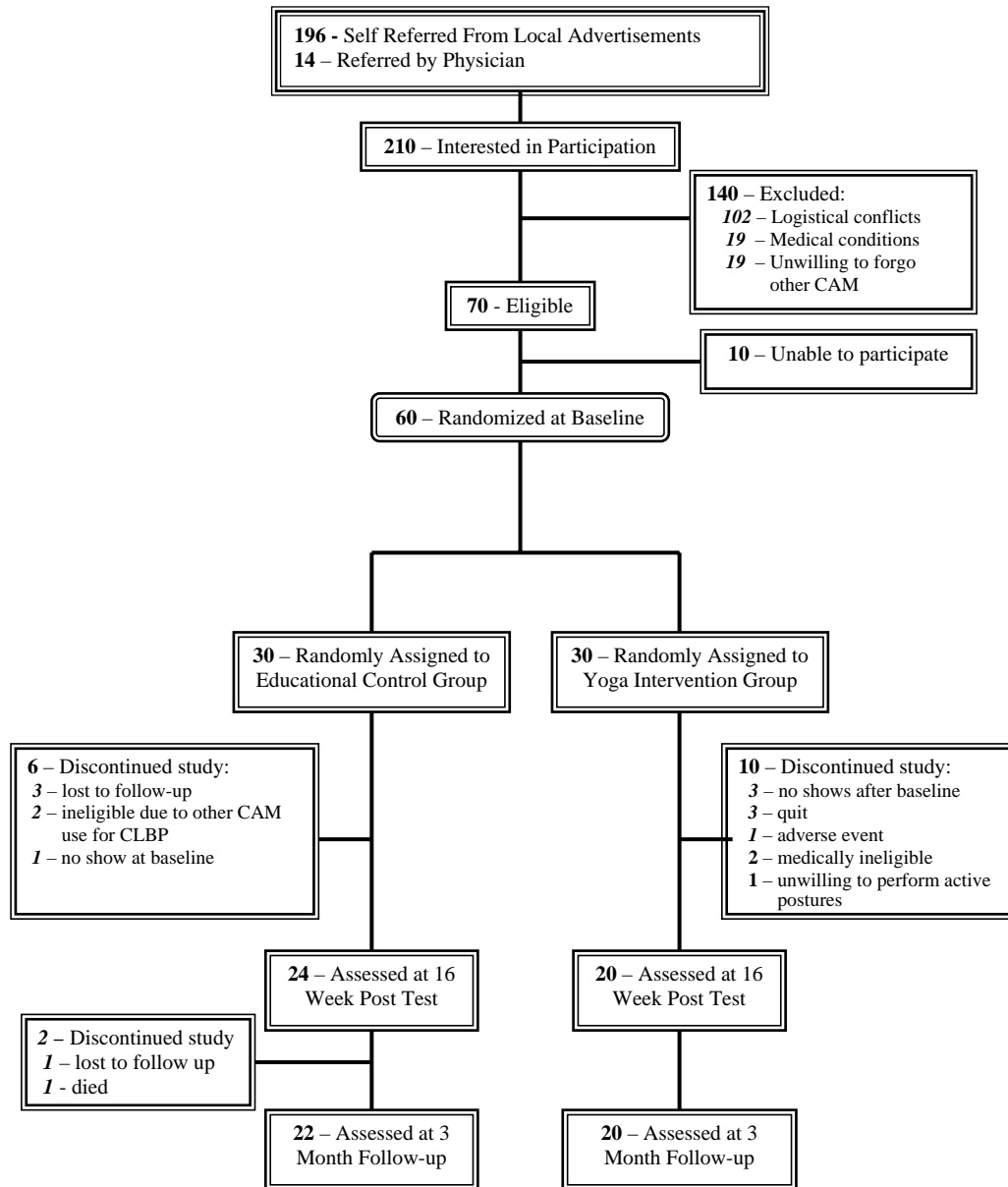


Fig. 1. Recruitment and study design.

wall ropes, benches, boxes, stools, trestler and weights. These props were used to provide external support to facilitate relaxation, to provide traction and to bring awareness to a specific regions of the body. Many muscle groups were targeted by Iyengar yoga with the aim of lengthening constricted or stiff muscles and strengthening core postural muscles that were underutilized including muscles of the abdomen, diaphragm, hamstrings, quadriceps, hip adductors and lateral rotators, buttocks, muscles of the lumbar and thoracic areas of the back.

The yoga intervention was developed with the consultation of senior Iyengar yoga instructors who had experience with *Iyengar's* protocol for treating CLBP. The principal investigator, an Iyengar student for 14 years and teacher in training for 9 years, was introduced to the protocol for CLBP by Geeta Iyengar at Ramamani Memorial Institute in Pune, India in 1998. Since then she has utilized this therapeutic protocol and studied under senior Iyengar teachers with a minimum of 25 years of experience.

The yoga instructors have trained in the Iyengar method for over 10 years, teaching yoga for 8 years and have experience teaching persons with CLBP. Although the PI served as one of the yoga instructors, she was not involved in data collection or data analysis of the results.

The intervention consisted of 29 postures (see Table 1). Poses from the following categories were used: supine, seated, standing, forward bends, twists, and inversions. No back bending poses were introduced at this stage of recovery to reduce the risk of re-injury. Back bending poses require a proper progression of musculoskeletal retraining and can be harmful if done without implementation of complex musculoskeletal actions (Williams et al., 2003). Initially, restorative poses were done to relieve pain and muscle tension. Then poses were introduced that lengthened muscles attaching to the spine and pelvis in positions with the spine fully supported. Next standing poses were introduced to open the hips and groins and to teach students how to use their

Table 1  
Postures in yoga therapy intervention

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1. Savasana II with bolster and sandbag; with sacral traction
2. Prone Savasana with 25 lb weight on buttocks; with two 15 lb plate weights and 3 10 lb sandbags between plate weights
3. Prone Supta Padangusthasana with raised knee bent and supported
4. Supta Pavanmuktasana—1 knee to chest, both knees to best
5. Supta Padangusthasana I and II—bent knee and straight leg with support of the wall; with assisted traction; traction with two straps
6. Pavanmuktasana on the bench
7. Uttanasana on the stool
8. Ardha Uttanasana onto halasana box with double traction
9. Adho Mukha Svanasana using simhasana box and upper wall ropes; with lower wall ropes and heels on wall
10. Lumbar traction with straight legs and bent legs
11. Adho Mukha Virasana over bolster
12. Parsva Pavanmuktasana on the bench
13. Prasarita Padottanasana on bench with traction on the upper thighs (concave back)
14. Parsvottanasana (concave back)
15. Maricyasana III at trestler
16. Tadasana with block between the legs
17. Utthita Hasta Padangusthasana I and II with bent knee and straight leg
18. Parivrita Hasta Padangusthasana III straight leg supported on stool at trestler
19. Utthita Padmasana—forward bend (adho mukha) and lateral stretch (parsva)
20. Adho Mukha Sukasana
21. Parsva Sukasana
22. Trikonasana (at trestler with traction)
23. Virabdrasana II (at trestler with traction)
24. Parsvakonasana (at trestler)
25. Parivrita Trikonasana (trestler)
26. Bharadvajasana (chair)
27. Supported Urdhva Prasarita Padasana
28. Supported Baddha Konasana
29. Supported Halasana

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The names of postures are in sanskrit. Pictures of the poses with props can be found in Williams et al. (2003).

legs and arms to lengthen pelvic and spinal tissues. Twists were taught to access the deeper layer of back muscles to help realign the vertebra, increase intervertebral disc space and decrease possible impingement of nerve roots. Inversions were included to reverse the compressive effects of gravity on the intervertebral disc space. Subjects were gradually progressed from simple poses to progressively more challenging poses. Throughout the intervention, instructors focused on correcting imbalances in muscles affecting spinal alignment and posture as they were revealed in the poses. At the program end, yoga subjects were encouraged to continue yoga therapy at home and through community classes.

## 2.4. Outcome measures

### 2.4.1. Functional disability

Functional disability was the primary outcome variable and was measured using the seven-item Pain Disability Index (PDI) (Tait et al., 1990) that assessed the degree (1–10 scale) that chronic pain disrupts performance or function of seven general areas of normal activities including family and home responsibilities, recreation, social activity, occupation, sexual behavior, self-care, and life-support activity (Tait et al., 1990). A total disability score was calculated by summing all items for a maximum score of 70 points with higher scores indicating higher levels of disability. The PDI has been found to be both reliable (Tait et al., 1990) and valid (Jerome and Gross, 1991; Chibnall and Tait, 1994).

### 2.4.2. Clinical pain

Clinical levels of pain were assessed using the Short Form-McGill Pain Questionnaire (SF-MPQ) (Melzack, 1987). The SF-MPQ measures present pain intensity with a standard horizontal 10 cm visual analogue scale (VAS) (Huskisson, 1983) and the Present Pain Index (PPI) (Melzack, 1987). The VAS is a bipolar line scale with the descriptive anchors of *no pain* on the left side of the line and *worst possible pain* on the right side of the line. The PPI is a rating scale that requires each patient to endorse their pain with one check from 0 representing no pain to 5 representing excruciating pain.

### 2.4.3. Fear of movement

Pain-related fears to movement were quantified by the Tampa Scale of Kinesiophobia (TSK) (Kori et al., 1990; Crombez et al., 1999a,b; Goubert et al., 2002; Lethem et al., 1983; McCracken et al., 1992; Vlaeyen et al., 1995; Waddell et al., 1993). The TSK is constructed on a four-point scale with anchors ranging from 'strongly disagree' to 'strongly agree'. Higher scores were associated with greater pain-related fear of movement (Vlaeyen et al., 1995). Internal consistency of the TSK was fair ( $\alpha=0.68$  and 0.80) (Vlaeyen et al., 1995) while demonstrating good validity among CLBP patients (Crombez et al., 1999a).

### 2.4.4. Pain attitudes

Beliefs associated with adjustment to chronic pain were assessed with the Survey of Pain Attitudes (SOPA) (Jensen et al., 1994). The SOPA is a 57-item questionnaire that rates level

of agreement on a 0–4 scale with statements concerning perceptions of seven attitudinal areas related to pain including Control, Disability, Harm, Emotion, Medication, and Medical Cure. Higher scores indicate an increase in the beliefs or attitudes that influence chronic pain and disability in a negative way with the exception of the control and emotion subscales. The validity of the SOPA is good (Strong et al., 1992) while demonstrating moderate to good reliability (Jensen et al., 1994).

#### 2.4.5. Coping strategies

Various coping strategies were assessed using the Coping Strategies Questionnaire-Revised, (CSQ-R) (Robinson et al., 1997; Riley and Robinson, 1997). The CSQ-R consists of 27 items and uses a 0–6 rating scale to rate perceived use of six types of coping strategies for pain when pain is experienced including: Distraction, Catastrophizing, Ignoring Pain, Distancing, Cognitive Coping, and Praying. The psychometric properties of CSQ-R are well established (Robinson et al., 1997; Riley and Robinson, 1997).

#### 2.4.6. Self-efficacy

Subjects' perception of their confidence to actively cope with CLBP at the time of assessment was measured with the Back Pain Self-Efficacy Scale (BPSES) (Anderson et al., 1995). The BPSES presents 22 statements about coping strategies for pain and rates self-efficacy using a 10-point scale from 10 *low certainty* to 100 *totally certain*. Higher scores correspond to greater self-efficacy beliefs toward LBP. Three subscales exist including self-efficacy for pain management, functional ability and controlling symptoms. Evidence indicates this scale has good concurrent and construct validity (Anderson et al., 1995) and good internal consistency.

#### 2.4.7. Range of motion

Spinal ROM was measured using a Saunders Digital Inclinometer,<sup>1</sup> which is a portable, hand-held device with a liquid crystal screen that does not require calibration. The curve-angle method for measuring spinal ROM was utilized. The method more effectively isolates ROM of lumbar flexion and extension because it takes into consideration each individual's different standing lumbar posture in determining its zero reference point for measurements. Testing was performed with awareness of common measurement errors identified by Mayer et al. (1997). Assessments were performed in the standing position and included hip flexion and extension, lumbar flexion, extension, and right and left lateral flexion (side bending).

#### 2.4.8. Pain medication usage

Subjects were interviewed about their current pain medication usage (within the past 3 months) during the telephone-screening interview. Questions were asked to determine the use of 'pain relieving' prescription and non-prescription medications as well as the use of herbal and dietary supplements for pain management prior to the intervention. Changes from the baseline in drug consumption were evaluated at post-intervention and at 3-month follow-up. Subjects were given a list of their medications reported at baseline and asked to specify whether there was a change. Responses were coded in either one of four categories: no change from baseline, an increase or a decrease in usage, or cessation of medication. If a subject changed to a drug regimen that was

equivalent to the pre-intervention drug regimen with respect to medication class and/or dosing period, and which was expected to yield a similar analgesic response, the drug usage was considered unchanged. Changing the dose or stopping of one or more components of a multiple drug regimen was also considered an alteration in drug usage. For example, if two analgesics were being used, and one was stopped, it was recorded as a reduction in pain medication usage.

#### 2.4.9. Adherence

Subjects in the yoga group were asked each week to report the frequency and duration of their yoga therapy practice at home. The total practice time was calculated each week and an average score was determined for the 16-week intervention period.

#### 2.5. Statistics

Unpaired *t*-tests were conducted to determine if baseline differences in demographics, medical history and outcome measures existed between groups. Unpaired *t*-tests were also conducted to assess whether study completers differed significantly on outcome measures at baseline from non-completers. A non-completer was a subject who either failed to complete the program or failed to complete post-treatment assessment of study outcomes.

Repeated measures multivariate analysis was conducted on functional (functional disability and pain intensity), psychological (pain attitudes, fear of movement and self-efficacy), and behavioral (coping strategies) outcomes to control for type I error. For spinal range of motion, only pre- and post-intervention scores were obtained and included in the multivariate analysis. If the outcome of the multivariate analysis was significant an ANCOVA was conducted that controlled for baseline scores of study outcomes to assess whether changes from baseline were significantly different between the yoga and control groups at post-treatment and the 3-month follow-up. Changes in pain medication usage were analyzed with chi-squared test and were assessed for their significance after Bonferroni correction of the significance level for the number of outcomes included in the analysis.

### 3. Results

#### 3.1. Subject characteristics

Of 210 candidates who called in or were referred by their physician to participate in the study (Fig. 1), 70 (33%) met the inclusion criteria and 60 (29%) agreed to enroll. One hundred and forty candidates were excluded before enrollment for the following reasons: logistical conflicts (72.8%); contraindicated medical conditions (13.6%); or unwillingness to forgo other forms of CAM (13.6%). Of the 60 subjects starting the study, 42 completed the study giving a 70% completion rate. Ten subjects were excluded from the analysis in the yoga group for the following reasons: three for not showing up to intervention after attending the baseline assessment, three quit, one adverse event in a subject with symptomatic osteoarthritis who was diagnosed with a herniated disc during the study, two medically

<sup>1</sup> Manufactured by the Saunders Group, Inc., Chaska, MN.



ineligible (pregnant, scoliosis), one subject with symptomatic osteoarthritis who was unwilling to perform active yoga postures for fear of aggravating her condition. Review of the adverse event by a medical panel summoned by the Institutional Review Board determined that it was unrelated to the performance of yoga postures. Eight subjects were excluded from analysis in the control group for the following reasons: four were lost to follow-up, two became ineligible because of other CAM treatment for CLBP, one did not show at baseline assessment and one elderly subject died. Of the 20 subjects completing the yoga intervention, an attendance rate of 91.9% was achieved for the 16-week protocol.

Forty-four subjects (control and yoga) completed the 16-week intervention. The mean age was  $48.3 \pm 1.5$  with a range of 23–67 years. Subjects reported an average duration of LBP of  $11.2 \pm 1.54$  years, 48% reported using pain medication, and 30% used some form of CAM for LBP at baseline (see Table 2). A one-way ANOVA (unpaired *t*-test) revealed no significant differences in demographics and medical history between the yoga and control groups ( $P > 0.05$ ; Table 2). No significance between group differences were found at baseline on outcome variables with the exception of significantly higher functional ability on the BPSES ( $P = 0.005$ ), lower catastrophizing as a coping strategy ( $P = 0.007$ ), and less perceived disability ( $P = 0.002$ ) and harm ( $P = 0.02$ ) on the SOPA by the yoga group compared to the control group.

One-way analysis of demographic factors, medical history, baseline pain intensity, and disability comparing subjects who completed the study ( $N = 42$ ) and subjects who either dropped out or were lost to follow-up ( $n = 18$ ),

revealed no significant differences between group in demographics, baseline disability or pain intensity. However, non-completers had LBP for a longer period of time ( $16.4 \pm 2.5$  yr) compared to completers ( $10.21 \pm 1.51$  yr).

### 3.2. Comparison of study outcomes in the yoga and control groups

A multivariate analysis of functional, psychological and behavioral outcomes determined that significant group  $\times$  time differences existed in the primary outcome, functional disability and the secondary outcome, pain intensity ( $P = 0.0036$ ; Table 3). No significant differences were found in the other secondary outcomes including spinal range of motion, psychological or behavioral factors. Significant differences between groups were observed in the changes in pain medication usage using a Bonferonni corrected significance level. Both the post and 3-month follow-up *P* values were less than the Bonferonni corrected significance level of 0.025 (Table 3).

Univariate analysis of functional disability indicated that the yoga group has less functional disability post-treatment than the control group (Table 4). At baseline, the mean functional disability was 14.3 (13.6) and 21.2 (20.5) for the control group and the yoga group, respectively. After 16 weeks, the mean score fell to 3.3 (5.1) in the yoga group (76.9%) and to 12.8 (11.9) in the control group (39.6%). Three months after the intervention, the mean score was 3.9 (5.3) in the yoga group (72.7%) and 12.7 (11.4) in the control group (40%). A one-way ANCOVA that controlled for baseline score, indicated that functional disability was significantly lower in the yoga group compared to the control group ( $P = 0.005$ ) immediately after the intervention. The greater improvement in functional disability by the yoga group was maintained at the 3-month follow-up ( $P = 0.009$ ).

Univariate analysis of present pain revealed that yoga subjects reported two times greater reductions in pain than the control group (Table 4). At baseline, the mean VAS score was 2.3 (1.6) and 3.2 (2.3) for the yoga group and the control group, respectively. After the 16-week intervention, the mean score fell to 1.0 (1.1) for the yoga group (56.5%) and to 2.1 (2.3) for the control group (31%). At the 3-month follow-up, the mean VAS score was 0.6 (1.1) for the yoga group (69.6%) and 2.0 (2.1) for the control group (37.5%). The difference between the two groups became statistically significant at three-month follow-up when the yoga group reported a 70% decrease in present pain compared to the 38% reduction reported by the control group (Table 4).

Pain medication was used by 17 of 24 subjects in control and 18 of 20 subjects in yoga. The subjects used non-steroidal anti-inflammatory drugs (NSAIDS) or acetaminophen. However, one participant in the control group also used a narcotic and muscle relaxant while another used only a muscle relaxant. In the yoga group, four subjects reported using muscle relaxants in addition to NSAIDS with one subject using a narcotic occasionally. Drug usage reported

Table 2  
Demographic and medical characteristics of subjects

Characteristic	Control ( $N = 24$ )	Yoga ( $N = 20$ )	<i>P</i> -value
Mean age, yr ( $M \pm SE$ )	$48.0 \pm 1.96$	$48.7 \pm 10.6$	0.81
Gender (%)			
Female	70.8	65.0	0.68
Male	29.2	35.0	
Ethnicity			
African Am	1	1	0.57
Asian	1	0	
Native Am	0	1	
Caucasian	22	18	
Education level (%)			
High school	29.2	20.0	0.48
College	70.8	80.0	
Income (%)			
\$10–19,000	16.7	5.0	0.35
\$20–49,000	50.0	45.0	
\$50–100,000	33.3	50.0	
History of LBP			
Years	$11.0 \pm 2.07$	$11.3 \pm 2.37$	0.92
%Taking meds	50.0	45.0	0.71
%Using CAM	25.0	35.0	0.49

No significant differences were found between groups ( $P > 0.05$ ) on demographics and medical history at baseline.

Table 3  
Analyses of study outcomes to correct type I error

Outcome	Variables	Correction for multiple outcomes	P-value
Functional outcomes (Pre, post & follow-up)	PDI PPI VAS	Manova	0.004
Pain medication usage (Pre, post & follow-up)	Success Failure	Bonferroni Correction	<i>P</i> < .025 Post 0.002 3MFup 0.007
<i>Psychological factors</i> (Pre, post & follow-up)			
Survey of pain attitudes	Pain attitudes Pain control Disability Harm Emotion Medication Solicitude Medical cure	Manova	0.15
Fear of movement	Fear of movement		
Self-efficacy	Self-efficacy Managing symptoms Functional ability Controlling Symptoms		
<i>Behavioral factors</i> (Pre, post & follow-up)			
Coping Strategies Questionnaire	Distraction Catastrophizing Ignoring pain Distancing Cognitive coping Praying	Manova	0.52
Spinal range of motion (Pre and post)	Lumbar extension  Lumbar flexion Left lateral flexion Right lateral flexion Standing hip extension Standing hip extension	Manova	0.15

Table 4  
Comparison of changes in functional disability and pain intensity from baseline in the educational control and yoga groups at the post and 3-month follow-up assessments

Variable	Control		Yoga		Between group	
	<i>M</i> ± <i>SD</i>	Mean change	<i>M</i> ± <i>SD</i>	Mean change	Unadjusted <i>P</i> -value	Adjusted <i>P</i> -value
<i>PDI</i>						
Pre	21.2 (20.5)	–	14.3 (13.6)	–		
Post	12.8 (11.9)	–8.4	3.3 (5.1)	–11.0	0.611	0.834
3-month	12.7 (11.4)	–8.5	3.9 (5.3)	–10.4	0.005*	0.009*
<i>PPI</i>						
Pre	1.6 (1.1)	–	1.4 (0.9)	–		–
Post	1.2 (1.2)	–0.4	0.5 (0.6)	–0.9	0.061	0.018*
3-month	1.1 (0.9)	–0.5	0.5 (0.6)	–0.9	0.140	0.013*
<i>VAS</i>						
Pre	3.2 (2.3)	–	2.3 (1.6)	–	–	–
Post	2.1 (2.3)	–1.0	1.0 (1.1)	–1.3	0.671	0.146
3-month	2.0 (2.1)	–1.2	0.6 (1.1)	–1.6	0.398	0.039*

Significant differences between groups \**P* < 0.05. Adjusted *P*-values from ANCOVA that controlled for the baseline score of outcome variables.

Table 5  
Comparison of changes in pain medication usage in the educational control and yoga groups after post and 3-month follow-up assessments

Assessment	Outcome	Group		P-value
		Control (n)	Yoga (n)	
Post	Success	6	14	0.002*
	Failure	11	2	
3-month follow-up	Success	10	15	0.007*
	Failure	9	1	

Success, stopped or decreased medication use; failure, no change or increased medication use. Significant differences between groups  $P < 0.025$ .

immediately following completion of the study treatments decreased significantly in the yoga group compared to the control group ( $P = 0.002$ ) (Table 5). Upon completion of the 16-week intervention, 88% of the subjects in the yoga group reported decreasing or stopping their medication compared to 35% in the control group. One patient in the control group reported an increase in drug use. None of the patients who used a regimen with multiple analgesic medications reported reducing or stopping a pain medication, while increasing another in the regimen. At the 3-month follow-up, both groups reported further decreases in pain medication usage, but the yoga group continued to report significantly greater reductions than the control group ( $P = 0.004$ , Table 5).

An average of the weekly reported adherence to yoga at home indicated that subjects ( $n = 20$ ) in the yoga group who completed the study practiced 52.3 (7.5 min) min per week.

A social validation questionnaire was administered at post-intervention and at 3-month follow-up to all subjects for evaluation of potential confounds and to rate perceptions of the efficacy of the interventions. Possible confounds probed included use of medical or non-medical treatment, lifestyle changes, or other activities that could impact their LBP. Non-significant differences were found between groups for the above four areas. In addition, both groups were asked whether they read, implemented suggestions in the newsletters or found the information helpful to their recovery from LBP. Overall, 82.2% read greater than half of the 16 newsletters distributed ( $n = 37$ ), 68% ( $n = 30$ ) reported implementing between half to all of the suggestions recommended in the newsletters, and 79.5% ( $n = 35$ ) of respondents indicated the newsletters were of some to no importance to aid in the management and recovery from CLBP. No significant between group differences were found on responses to the above three questions. In the yoga group, 95% of subjects ( $n = 19$ ) rated yoga as having either some ( $n = 2$ ) or a large impact ( $n = 17$ ) on their LBP. Moreover, on a 1–5 scale with 1, 'no importance' and 5, 'great importance'; 75% respondents rated yoga of great importance ( $n = 15$ ) while the remaining 25% rated yoga as of 'some importance'. The entire group rated the yoga treatment as important for managing and recovering from LBP.

#### 4. Discussion

This is the first study to present results of the efficacy of Iyengar yoga on CLBP using a randomized controlled trial. The results support the hypothesis that yoga therapy confers greater benefits to CLBP patients than an educational program. It was demonstrated that a 16-week yoga therapy intervention caused a significant reduction in self-reported disability and pain, and reduced use of pain medication compared to the group in the educational program. The significant improvements by yoga subjects were maintained at the 3-month follow-up, indicating that the yoga intervention is associated with longer lasting reductions in disability and pain outcomes than an educational intervention.

Since both groups improved after their respective interventions in this relatively healthy population of subjects with CLBP, one possible reason for the reported improvement is regression to the mean. However, we think this is unlikely since the control group did not show the same degree of improvement and subjects with such a long medical history of CLBP would be unlikely to improve in 16 weeks in the absence of an intervention. In addition, the majority of subjects in the yoga group rated yoga as having a large impact on their LBP and as having great importance to the management and recovery of LBP.

Improvements in several outcome variables compared favorably to similar studies using active treatment strategies such as exercise, physical therapy protocols incorporating flexibility and strengthening exercises, and cognitive behavioral therapy. The reduction in functional disability due to yoga was greater in four out of five high quality studies of exercise and CLBP (Frost et al., 1995; Kankaapää et al., 1999; Risch et al., 1993; Torstensen et al., 1998; O'Sullivan et al., 1997). The reduction in pain intensity due to yoga was greater or equal to the reduction reported in three of the five exercise studies reported above. The effect size (ES) for functional disability and present pain intensity due to yoga in the current study were 2.6 and 0.5, respectively. These ESs were lower than the ES of the treatment group in the above exercise studies but were similar to or higher than the ES from a meta-analysis of cognitive behavioral interventions for adult chronic pain (Morley et al., 1999). A large part of the lower ES due to yoga in the current study reflects the much higher changes from baseline in the control group compared to controls in the above exercise studies. In the current study, the ES of yoga on functional disability (Bombardier et al., 2001) but not on pain intensity (Hagg et al., 2003) meets the reported criteria for being minimally clinically significant. In the current study, reductions in pain medication usage by the yoga group of 25% were comparable to those reported with massage therapy (26%), chiropractic and physical therapy (24–27%), slightly greater than acupuncture



(18%), and substantially larger than patient self-care (1%) (Skargren et al., 1997; Cherkin et al., 2001).

We can only compare the results of this study in a general way to those reported in the three published studies evaluating yoga on non-specific CLBP because of differences in study design, measurement outcomes, instruments and yoga intervention. Present findings of decreased pain and improvement in functional disability following yoga reflect reported decreases in pain status (Vidyasagar et al., 1989) and improvement in disability (Galantino et al., 2004) after yoga. Although Jacobs et al. (2004) has some similar outcomes to the current study, they were not published at the time of submission of this manuscript. We expect differences in efficacy of Iyengar yoga from Jacobs et al. (2004) because a different selection of poses was used. The main difference in the Iyengar yoga intervention between the current study and the study by Jacobs et al. (2004) is the lack of a resting phase of treatment prior to introducing more active poses and the inclusion of back bending poses in the later study.

The lack of treatment effect on the psychological and behavioral subscales is likely due to the study not having enough power to obtain statistical significance on these secondary outcomes. In addition, the duration of time necessary to change long-held negative cognitions and beliefs about CLBP such as movement-related fear may be longer than the time required for improved perceptions of pain or disability. It is also possible that the inclusion of a large number of difficult standing postures that require repeated practice to obtain correct pelvic alignment diminished the efficacy of the intervention. Although Iyengar (1976) claims that the standing poses are crucial for recovery from LBP, it is challenging to obtain the correct alignment in the posture that is necessary for pain relief in the learning phase. In such a short intervention, discomfort from improper alignment may have reduced the perceived efficacy of the yoga intervention on long-held negative cognitions and beliefs about the efficacy of yoga on CLBP. It is also possible that the impact of the yoga intervention would have been greater with a more experienced instructor.

The present study revealed methodological issues to address in future studies. In general, baseline measures for pain and functional disability were lower and ratings of back related self-efficacy were higher in the current study than many comparable studies in the literature for patients with CLBP (Grachev et al., 2002; McDonald and Weiskopf, 2001; O'Sullivan et al., 1997; Wright et al., 2001). As a result, the study population was relatively healthy in terms of pain and functional disability and is likely due to the self-referral of subjects. This finding reduces the absolute magnitude of improvement due to border effects and limits the generalizability of the findings to less severe LBP populations. We recommend including cut-offs on outcome measures in the inclusion/exclusion criteria so that a more disabled population is recruited and there is room for

measuring improvement. We also recommend the use of fewer outcomes to increase the power of the study and to reduce demand characteristics, testing expectancies, or testing fatigue.

An additional major constraint of the study is the lack of control for attention and physical activity, both of which could be responsible for the significant effects observed in the yoga group. Thus, in future studies, in addition to a standard medical care control, a second control group should be included that controls for attention, group support and physical activity. It is also possible that the treatment effects could be due to therapist bias since the principal investigator of the study was also involved in the delivery of the yoga therapy intervention. We have attempted to minimize this bias by having the data collection and data analysis conducted by other members of the research team. The attrition in this study was twice as high as expected. In a study comparing the effect of medical exercise therapy to conventional physiotherapy and self-exercise, Torstensen et al. (1998) reported a 15.8% drop-out compared to the 30% drop-out reported in this study. The attrition in this study drops to 18.3% when subjects are eliminated who discontinued in the study because they did not show up after the baseline assessment or turned out to be medically ineligible. In future studies, the attrition could be reduced by implementing a more rigorous physician screening to decrease the risk of medically ineligible subjects becoming enrolled in the study and by replacing subjects who do not show up for the first session of treatment. We also believe that the efficacy of the yoga intervention would be enhanced by doing fewer and less challenging poses. We recommend excluding patients with symptomatic osteoarthritis to increase compliance to the yoga intervention and to decrease the likelihood of adverse reactions to the active yoga postures.

In spite of the aforementioned limitations, this pilot design demonstrated several methodological strengths. The current design used an objective and standardized screening process to randomize eligible subjects into a longitudinal, experimental design. Moreover, the experimental design incorporated a more realistic, active educational control condition rather than a passive wait-listed group in order to help maintain the motivation of this group and control for positive expectancy. In addition, subject assessment was multidimensional including both objective and subjective tests of disability, pain, and cognition, which enabled the researchers to better assess instruments and tests for a large scale, clinical trial. Potential confounding factors (age, gender, duration of LBP) were controlled for using an ANCOVA and by determining if there were differences between groups in medical and CAM treatment, and lifestyle changes that could account for the reported changes in pain-related outcomes. Although the duration of the yoga intervention was short by the standards of Iyengar yoga philosophy, significant results were manifested in a short

time and adherence rates to yoga therapy were high. Poses and methods used for the treatment program were standardized and documented so that replication of the study is possible (Williams et al., 2003). Future studies should incorporate the above methodological changes. In addition, there is a need for clinical studies that determine whether yoga therapy can decrease medical utilization and for basic science studies that determine the mechanisms responsible for the therapeutic effects of Iyengar yoga therapy on CLBP.

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